

Please print clearly in CAPITAL LETTERS

Please refer to the Fund's prospectus for minimum investment amounts and subsequent investment requirements.

If you have any questions or need any help filling out the application, please call **(800) 711-9164**. <u>www.LeaderCapital.com</u>

NEW ACCOUNT APPLICATION

Do not use this form for IRA accounts.

After you have completed and signed this application, Please mail to:

LEADER FUNDS

c/o Gemini Fund Services, LLC P O BOX 541150 Omaha, Nebraska 68154

Distributed by Ceros Financial Services Inc.

1. ACCOUNT OWNERSHIP

Please provide complete information for EITHER A, B, C or D:

A. INDIVIDUAL OR JOINT (Please check one):

□ Individual □ Joint Account* *Tenants with Rights of Survivorship will be assumed, unless otherwise specified.

			/ /
Name		Social Security Number	Date of Birth
			/ /
loint Owner		Social Security Number	Date of Birth
Email			
Citizenship	□ U.S. or Resident Alien	□ Other <i>(please specify)</i>	
	M GIFTS TO MINORS ACCOL M TRANSFERS TO MINORS A	• •	
			/ /
Custodian's Nai	me	Custodian's Social Security Number	Custodian's Date of Birth / /
Minor's Name		Minor's Social Security Number	Minor's Date of Birth
Minor's State of	f Residence		Email

C. TRUST (Include a copy of the title page, authorized individual page and signature page of the Trust Agreement. Failure to provide this documentation may result in a delay in processing your application.)

Trust or Plan Name	Email
Trust Date (mo/day/yr)	Employer or Trust Taxpayer Identification Number
Trustee's (Authorized Signer's) Name (First, Middle Initial, Last)	
Trustee's Date of Birth (mo/day/yr)	Trustee's Social Security Number
Co-Trustee's (Authorized Signer's) Name (First, Middle Initial, Last)	
Co-Trustee's Date of Birth (mo/day/yr)	Co-Trustee's Social Security Number

	D.	government-issued bu	isiness license, partnersl	nip papers, plan docu	of one of the following uments or other official do result in a delay in process	documents: registered articles of incorporation, cumentation that verifies the entity and lists the sing your application.)
		□ C Corporation	□ S Corporation	□ Corporation	Partnership	□ Government Entity
		Other (please sp If no classification i	ecify)s provided, per IRS re	egulations, your ac	count will default to a	n S Corporation.
	Nan	ne of Corporation or Oth	er Business Entity	Tax ID Nu	umber	Email
	Auth	norized Individual	Social Security Nu	mber		Date of Birth
	Co-/	Authorized Individual	Social Security Nu	mber		Date of Birth
2.	MA	AILING AND CO	NTACT INFORM	ATION		
	LEC	GAL ADDRESS (Mus	t be a street address)			
	Stre	et Address			Daytime Telephone	
	City	, State, Zip			Evening Telephone	
	-		addrass bolow. Diasco p	ovido vour primary l		dition to any mailing address (if different).
			address below. Flease p	ovide your primary r	egai address above, in ad	union to any maning address (ir dinerent).
	Mail	ling Address			City, State, Zip	
3.		IITIAL INVESTM estor Class, Class A ar		inimum initial inve	stment for Institutional	Class Shares. \$2,500 minimum for
						Share Class
Lead	er S	Short Duration Bond	d Fund	\$	🗆 Institutional	Investor Class A Class C
Lead	er H	ligh Quality Low Du	uration Bond Fund	\$	Institutional	Investor
Lead	er T	otal Return Fund		\$	Institutional	□ Investor □ Class A □ Class C
			to the Leader Fund Call (800) 711-916		amount of the wire \$_	<u>.</u>
4.	DI	VIDEND AND CA	PITAL GAIN DI	STRIBUTIONS	3	
	All	dividends and capital	gains will be reinvest	ed in shares of the	Fund that pay them u	nless this box is checked.
		Please pay all divi	dends and capital g	ains in cash.		
5.	RE		CHARGE Complete	this section if you qua	ify for a reduced sales char	ge. See Prospectus for Terms & Conditions.
Y ir ir	'ou c nvest	er of Intent can reduce the sales ch ting a certain amount te the total amount you hs.	over a 13-month pe	riod. Please	already be eligible fo	ation lass A shares of the Leader Funds, you may r a reduced sales charge on Class A share ride the account number(s) below to qualify (if
Г	ך גי	i0,000 🗆 \$250,000 E	3 \$500.000		Account No.	
L	_				Account No.	
C	re	presentatives may comp			-	s charge on Class A shares. Registered
	Re	eason for Waiver:				

6. AUTOMATIC INVESTMENT PLAN (AIP)

	be a member of the			deduct money directly from your checking account every mont e (ACH). If you choose this option, please complete Section
	(\$100 min Il Return Fund) from			Duration Bond Fund or Leader Floating Rate Fund or \$2
□ Monthly [] Quarterly o	n the	day	of the month Beginning://
Important Note: business day.	If the AIP date falls on	a holiday or	weekend the c	deduction from your checking or savings account will occur on the ne
7. AUTOMATIC	WITHDRAWAL I	PLAN (AW	/P)	
	unt must be valued lish Automatic Witl			Investor Class or \$3 million or more in Institutional Clas
As specified belo	w, please withdraw fro	m the Leade	er Funds acco	unt:
\$	exact dollars per	period (\$10	0 minimum))
Send checks:	Monthly	Quarterly	Beginning:	//
Send checks to:	□ Address of reco	ord 🗆	Bank of recor	d (See Section 8)
Name				Daytime Telephone
City, State, Zip				Evening Telephone
8. BANK INFOR	RMATION			
I authorize the L is a member.	eader Funds to wire r	edemption p	roceeds wher	n requested via the Automated Clearing House of which my bar
Type of Account:	Checki	ng 🗆	Savings	
Name of Depositor	y Institution			Account Number
Street Address				ABA Number
City, State, Zip				City, State, Zip
	Plea	ise attach	a voided c	heck from your account.

9. COST BASIS METHOD

Note: The default cost basis calculation method for your new account will be Average Cost. If you wish to elect a different cost basis method, please contact the Fund to obtain a Cost Basis Election Form.

10. DEALER/REGISTERED INVESTMENT ADVISOR INFORMATION

If opening your account through a Broker/Dealer or Registered Investment Advisor, please have them complete this section.

Dealer Name	Representative's Last Name,	First Name
DEALER HEAD OFFICE	REPRESENTATIVE'S BRANCH	OFFICE
Address	Address	
City, State, ZIP	City, State, ZIP	
Telephone Number	Rep Telephone Number	Rep ID Number
Email Address	Rep Email Address	
	Branch ID Number	
	Branch Telephone Number (if diffe	erent than Rep Phone Number)

11. STATE ESCHEATMENT LAWS

Escheatment laws adopted by various states require that personal property that is deemed to be abandoned or ownerless, including mutual fund shares and bank deposits, be transferred to the state. Under such laws, ownership of your Fund shares may be transferred to the appropriate state if no activity occurs in your account within the time period specified by applicable state law. The Fund retains a search service to track down missing shareholders and will escheat an account only after several attempts to locate the shareholder have failed. To avoid this from happening to your account, please keep track of your account and promptly inform the Fund of any change in your address.

12. SIGNATURE(S) & CERTIFICATION (REQUIRED)

We must have signatures to process your Application and to certify your Taxpayer Identification number. IRS regulations require your signature to avoid any backup withholding.

W-9 Certification: Under penalty of perjury:

- (a) I certify that the number shown on this form is my/our current Social Security number(s) or Taxpayer Identification number(s).
- (b) I am not subject to backup withholding because; (1) I am exempt from backup withholding, or (2) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (3) the IRS has notified me that I am no longer subject to backup withholding.
- (c) I am a U.S. person (including a resident alien.)
- (d) I am exempt from FATCA reporting.

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

The undersigned represents and warrants that:

- I have full authority and am of legal age to purchase shares of the Fund;
- I have received and read a current prospectus for Leader Funds and agree to be bound by the terms contained therein; and

• The information contained on this New Account Application is complete and accurate.

If Fund shares are being purchased on behalf of an Investment Company (as that term is defined under the Investment Company Act of 1940, as amended ("the 1940 Act"), including investment companies that are not required to register under the 1940 Act pursuant to section 3(c)(1) or 3(c)(7) exemptions), I hereby certify that said Investment Company will limit its ownership to 3% or less of the Fund's outstanding shares.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Signature of owner (or custodian)	Date
Signature of joint owner (or corporate officer, partner or other)	Date
Trustee (if applicable)	Date

TO CONTACT US:

By Telephone Toll-free (800) 711-9164 In Writing LEADER FUNDS c/o Gemini Fund Services, LLC P O BOX 541150 Omaha, NE 68154 Or Via Overnight Delivery 17605 Wright Street, Suite 2 Omaha, NE 68130 Internet www.Leadercapital.com

Distributed by Ceros Financial Services, Inc.

LEADER FUNDSTRUST

FACTS WHAT DOES LEADER FUNDS TRUST DO WITH YOUR PERSONAL INFORMATION?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depends on the product or service that you have with us. This information can include:

- Social Security number and wire transfer instructions
- account transactions and transaction history
- investment experience and purchase history

When you are no longer our customer, we continue to share your information as described in this notice.

How? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Leader Funds Trust chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Leader Funds Trust share information?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes - to offer our products and services to you.	NO	We don't share
For joint marketing with other financial companies.	NO	We don't share
For our affiliates' everyday business purposes - information about your transactions and records.	NO	We don't share
For our affiliates' everyday business purposes - information about your credit worthiness.	NO	We don't share
For nonaffiliates to market to you	NO	We don't share

QUESTIONS? Call 1-(800) 711-9164

What we do:	
How does Leader Funds Trust protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.
How does Leader Funds Trust collect my personal information?	 We collect your personal information, for example, when you open an account or deposit money direct us to buy securities or direct us to sell your securities seek advice about your investments We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	 Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness. affiliates from using your information to market to you. sharing for nonaffiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.	
	• Leader Funds Trust does not share with our affiliates.	
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.	
	• Leader Funds Trust does not share with nonaffiliates so they can market to you.	
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.	
	• Leader Funds Trust doesn't jointly market.	

Leader Short Duration Bond Fund

Leader Total Return Fund

Leader Floating Rate Fund

Advisor	Leader Capital Corp. 315 W. Mill Plain Blvd., Suite 204 Vancouver, WA 98660
Distributor	Ceros Financials Services, Inc. 1445 Research Boulevard, Suite 530 Rockville, MD 20850
Legal Counsel	Practus, LLP 11300 Tomahawk Creek Parkway, Suite 310 Leawood, KS 66211
Transfer Agent	Gemini Fund Services, LLC 17605 Wright Street, Suite 2 Omaha, NE 68130
Custodian	Fifth Third Bank 38 Fountain Square Plaza Cincinnati, OH 45202
Independent Registered Public Accounting Firm	BBD, LLP 1835 Market Street, 3 rd FLR Philadelphia, PA 19103

Additional information about the Funds is included in the Funds' Statement of Additional Information dated July 15, 2019 (the "SAI"). The SAI is incorporated into this Prospectus by reference (i.e., legally made a part of this Prospectus). The SAI provides more details about the Funds' policies and management. Additional information about the Funds' investments will be available in the Funds' Annual and Semi-Annual Reports to Shareholders. In the Funds' Annual Report, you will find a discussion of the market conditions and investment strategies that significantly affected the Funds' performance during its most recent fiscal year.

To obtain a free copy of the SAI and the Annual and Semi-Annual Reports to Shareholders (when available), or other information about the Funds, or to make shareholder inquiries about the Funds, please call 1-800-711-9164 or visit <u>www.leadercapital.com</u>. You may also write to:

Leader Short Duration Bond Fund Leader Total Return Fund Leader Floating Rate Fund c/o Gemini Fund Services, LLC 17605 Wright Street Omaha, Nebraska 68130

You may review and obtain copies of the Funds' information (including the SAI) at the SEC Public Reference Room in Washington, D.C. Please call 1-202-551-8090 for information relating to the operation of the Public Reference Room. Reports and other information about the Funds are available on the EDGAR Database on the SEC's Internet site at <u>http://www.sec.gov</u>. Copies of the information may be obtained, after paying a duplicating fee, by electronic request at the following E-mail address: <u>publicinfo@sec.gov</u>, or by writing the Public Reference Section, Securities and Exchange Commission, 100 F Street, N.E. Washington, D.C. 20549-0102.

Investment Company Act File Number: 811-23419