

Please complete this form only if you are transferring assets directly to a new or existing non-qualified account with the Leader Funds (the "Fund"). Please complete a separate form for each account you wish to transfer. Transfers may take 3 to 5 weeks to complete after your paperwork is received in good order.

For Additional Copies or Assistance

If you need additional copies of this form, or would like assistance completing it, please call **(800) 711-9164** or go to <u>www.LeaderCapital.com</u>.

Instructions

1. If you are establishing a new account, please contact **(800) 711-9164** or go to <u>www.LeaderCapital.com</u> about additional information that must be submitted with this Form.

2.	Mail this Transfer Form to:		
	Leader Funds		
	c/o Gemini Fund Services, LLC		
	P.O. Box 541150		
	Omaha, NE 68154		

Overnight Delivery: Leader Funds c/o Gemini Fund Services, LLC 17605 Wright Street, Suite 2 Omaha, NE 68130

3. Retain a copy for your records.

Leader Funds Privacy Policy Statement

Your privacy is important to us. The Leader Funds are committed to maintaining the confidentiality, integrity and security of your personal information. When you provide personal information, the Fund believes that you should be aware of policies to protect the confidentiality of that information.

The Fund collects the following nonpublic personal information about you:

- Information we receive from you on or in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income and date of birth; and
- Information about your transactions with us, our affiliates, or others, including, but not limited to, your account number and balance, payments history, parties to transactions, cost basis information, and other financial information.

The Fund does not disclose any nonpublic personal information about our current or former shareholders to nonaffiliated third parties, except as permitted by law. For example, the Fund is permitted by law to disclose all of the information we collect, as described above, to our transfer agent to process your transactions. Furthermore, the Fund restricts access to your nonpublic personal information to those persons who require such information to provide products or services to you. The Fund maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

In the event that you hold shares of the Fund through a financial intermediary, including, but not limited to, a broker-dealer, bank, or trust company, the privacy policy of your financial intermediary would govern how your nonpublic personal information would be shared with nonaffiliated third parties.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Leader Funds Privacy Policy Statement, please contact the Fund at **(800) 711-9164** or <u>www.LeaderCapital.com</u> or contact the Leader Funds at PO Box 541150, Omaha, NE 68154.

1. ACCOUNT REGISTRATION

Please provide your primary legal address, in addition to any mailing add	dress (if different).
Owner's Name (First, Middle, Last)	Social Security Number
Street Address	Date of Birth
City, State, Zip	Daytime Telephone
Email Address	Evening Telephone
□ This is a new account. I have completed and enclosed an Appl	ication with this transfer form.
\Box This is an existing account. Please apply transfer proceeds to r	ny account number:
2. INFORMATION ABOUT YOUR EXISTING AC	COUNT
Name of Firm Currently Holding Your Account	Account Name
Street Address	Account Number
City, State, Zip	Firm Telephone Number
Please attach a copy of the most	recent statement for this account.
3. TRANSFER INFORMATION	
Please transfer assets from the above account to Leader Fur	nds. Transfers should be according to the following instructions
This transfer is a: (check one)	The type of account I am transferring from is a: (check one)
□ Complete Transfer. Please liquidate all assets in my account.	Individual

- □ Complete Transfer. Please liquidate all assets in my account.
- □ Partial Transfer. Liquidate \$ ______ from my account.

□ Transfer in kind: Please transfer ______shares of _____

(Fund Name)

The type of account I am transferring to is a: (check one)

- □ Individual
- □ Joint Tenant

□ Joint Tenant

□ Trust

□ Other

□ Transfer on Death

- □ Transfer on Death
- □ Trust
- □ Other

4. CERTIFICATIONS AND SIGNATURES

I hereby authorize this liquidation and/or transfer in kind from my current financial institution to the account designated on this form. By signing below, I certify the information set forth herein is accurate and I have received and read a prospectus for the funds in which I am making my investment. To the extent that I have requested a redemption of mutual fund shares in connection with my transfer, I understand that such shares will be redeemed at the net asset value next determined after my transfer request is reviewed and determined to be in good order by the delivering firm. **The Internal Revenue Service does not require your consent** to any provision of this document other than the certifications required to avoid backup withholding.

Medallion Signature Guarantee* (if required):

Some Firms require a Medallion Signature Guarantee to transfer assets. Please check with your current firm to see if they require a Medallion Signature Guarantee. Failure to obtain a required signature guarantee may result in a delay in the transfer of assets.

Account Owner's Signature

Date

Joint Owner's Signature (if applicable)

Date

*A Medallion Signature Guarantee can be obtained from a bank, broker-dealer, a credit union, a national securities exchange, savings association or other financial intermediaries that are members of an Approved Medallion Guarantee Program. A signature by a Notary Public is not acceptable as a signature guarantee.

5. TRANSFER INSTRUCTIONS

Make check payable to:

Leader Funds

FBO: _____

Account Number:

Mail this Transfer Form to:

Leader Funds

c/o Gemini Fund Services, LLC P.O. Box 541150 Omaha, NE 68154 Or Via Overnight Delivery 17605 Wright Street, Suite 2 Omaha, NE 68130 Internet www.LeaderCapital.com

Distributed by Ceros Financial Services, Inc.

LEADER FUNDSTRUST

FACTS WHAT DOES LEADER FUNDS TRUST DO WITH YOUR PERSONAL INFORMATION?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?The types of personal information we collect and share depends on the product or service that you have with us.
This information can include:

- Social Security number and wire transfer instructions
- account transactions and transaction history
- investment experience and purchase history

When you are no longer our customer, we continue to share your information as described in this notice.

How? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Leader Funds Trust chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Leader Funds Trust share information?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes - to offer our products and services to you.	NO	We don't share
For joint marketing with other financial companies.	NO	We don't share
For our affiliates' everyday business purposes - information about your transactions and records.	NO	We don't share
For our affiliates' everyday business purposes - information about your credit worthiness.	NO	We don't share
For nonaffiliates to market to you	NO	We don't share

QUESTIONS? Call 1-(800) 711-9164

What we do:		
How does Leader Funds Trust protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.	
How does Leader Funds Trust collect my personal information?	 We collect your personal information, for example, when you open an account or deposit money direct us to buy securities or direct us to sell your securities seek advice about your investments We also collect your personal information from others, such as credit bureaus, affiliates, or other companies. 	
Why can't I limit all sharing?	 Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness. affiliates from using your information to market to you. sharing for nonaffiliates to market to you. State laws and individual companies may give you additional rights to limit sharing. 	

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.	
	• Leader Funds Trust does not share with our affiliates.	
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.	
	• Leader Funds Trust does not share with nonaffiliates so they can market to you.	
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.	
	• Leader Funds Trust doesn't jointly market.	

Leader Short Duration Bond Fund

Leader Total Return Fund

Leader Floating Rate Fund

Advisor	Leader Capital Corp. 315 W. Mill Plain Blvd., Suite 204 Vancouver, WA 98660
Distributor	Ceros Financials Services, Inc. 1445 Research Boulevard, Suite 530 Rockville, MD 20850
Legal Counsel	Practus, LLP 11300 Tomahawk Creek Parkway, Suite 310 Leawood, KS 66211
Transfer Agent	Gemini Fund Services, LLC 17605 Wright Street, Suite 2 Omaha, NE 68130
Custodian	Fifth Third Bank 38 Fountain Square Plaza Cincinnati, OH 45202
Independent Registered Public Accounting Firm	BBD, LLP 1835 Market Street, 3 rd FLR Philadelphia, PA 19103

Additional information about the Funds is included in the Funds' Statement of Additional Information dated July 15, 2019 (the "SAI"). The SAI is incorporated into this Prospectus by reference (i.e., legally made a part of this Prospectus). The SAI provides more details about the Funds' policies and management. Additional information about the Funds' investments will be available in the Funds' Annual and Semi-Annual Reports to Shareholders. In the Funds' Annual Report, you will find a discussion of the market conditions and investment strategies that significantly affected the Funds' performance during its most recent fiscal year.

To obtain a free copy of the SAI and the Annual and Semi-Annual Reports to Shareholders (when available), or other information about the Funds, or to make shareholder inquiries about the Funds, please call 1-800-711-9164 or visit <u>www.leadercapital.com</u>. You may also write to:

Leader Short Duration Bond Fund Leader Total Return Fund Leader Floating Rate Fund c/o Gemini Fund Services, LLC 17605 Wright Street Omaha, Nebraska 68130

You may review and obtain copies of the Funds' information (including the SAI) at the SEC Public Reference Room in Washington, D.C. Please call 1-202-551-8090 for information relating to the operation of the Public Reference Room. Reports and other information about the Funds are available on the EDGAR Database on the SEC's Internet site at <u>http://www.sec.gov</u>. Copies of the information may be obtained, after paying a duplicating fee, by electronic request at the following E-mail address: <u>publicinfo@sec.gov</u>, or by writing the Public Reference Section, Securities and Exchange Commission, 100 F Street, N.E. Washington, D.C. 20549-0102.

Investment Company Act File Number: 811-23419